



Receipt

Patent  
Docket No.: 51932USA2D.015  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of:

Terence D. Neavin, Andrew J. Ouderkirk, Group Art Unit: 1732  
Yaoqi J. Liu

Serial No.: 09/810,916

Filed: 03/16/01

Examiner: —

For: **METHOD FOR MAKING TEXTURED MULTILAYER OPTICAL FILMS**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Date: June 5, 2001 Signature: Steven J. Ziehl

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Please be advised that the Filing Receipt for the above-identified application contains one or more errors. A photocopy of the Filing Receipt with the correction(s) noted thereon is enclosed.

Specifically, the number of drawing pages submitted is indicated on the Filing Receipt as "3". The number of drawing pages actually submitted is "4" as shown on the papers originally filed. Enclosed are copies of the 4 drawing pages submitted and a copy of the **Transmittal of Continuing Patent Application—Rule 1.53(b)** submitted March 15, 2001.

Correction of the records at the United States Patent and Trademark Office and issuance of a corrected Filing Receipt are respectfully requested.

The corrections(s) is/are not due to any error by applicant and no fee is due.

Respectfully submitted,

Registration Number 35,207	Telephone Number 651-736-3369	Signature <u>Stephen C. Jensen</u>
Date <u>June 4, 2001</u>		Print Name Stephen C. Jensen

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
P.O. Box 33427  
St. Paul, Minnesota 55133-3427  
Facsimile: (651) 736-3833

RECEIVED  
AUG 21 2001  
TC 1700



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/810,916	03/16/2001	1732	710	51932USA2D.015	34	9	1

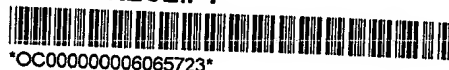
OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL

CONFIRMATION NO. 7795

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
PO Box 33427  
St. Paul, MN 55133-3427

3M INNOVATIVE PROPERTIES COMPANY FILING RECEIPT

MAY 21 2001



\*OC000000006065723\*

REFERRED TO

Date Mailed: 05/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Terence D. Neavin, St. Paul, MN;  
Andrew J. Ouderkirk, Woodbury, MN;  
Yaoqi J. Liu, Maplewood, MN;

**Assignment For Published Patent Application**

3M Innovative Properties Company;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A CON OF 09/229,724 01/13/1999  
WHICH IS A CIP OF 09/006,288 01/13/1998 ABN

**Foreign Applications**

If Required, Foreign Filing License Granted 05/10/2001

Projected Publication Date: 08/16/2001

Non-Publication Request: No

Early Publication Request: No

RECEIVED  
AUG 21 2001  
TC 1700

**Title**

Method for making multilayer optical films

**Preliminary Class**

264

---

**Data entry by : DOAN, VIET**

**Team : OIPE**

**Date: 05/11/2001**

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231